

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Smith et al Examiner: Unassigned
Serial No.: 09/849,707 Group Art Unit: 2836
Filed: May 4, 2001 Docket: 50019.57USU1/PO4868
Due Date: September 3, 2001

Title: APPARATUS AND METHOD FOR IMPROVING ESD AND TRANSIENT IMMUNITY IN SHUNT REGULATORS

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on August 27, 2001.

By:

Brett Hertzberg

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Check(s) in the amount of \$920.00 for filing fees.
- ☒ Other: Notice to File Missing Parts of Nonprovisional Application, signed Declaration and Power of Attorney.
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.
P.O. Box 2903, Minneapolis, MN 55402-0903
206.342.6200

By:

Name: Brett A. Hertzberg

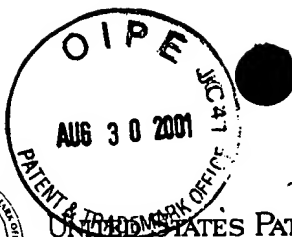
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/849,707	05/04/2001	Gregory J. Smith	50019.57USU1/P04868

CONFIRMATION NO. 9655

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MERCHANT & GOULD
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MINNEAPOLIS, MN 55402-0903

FORMALITIES LETTER



OC00000006256716

Date Mailed: 07/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$80.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 920.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/31/2001 EABUBAK1 00000049 09849707

01 FC:101	710.00 OP
02 FC:102	80.00 OP
03 FC:105	130.00 OP